

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

JAN 26 2005

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

11/16/2004

Attn: William J. Wood
Gates & Cooper LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045

01/31/2005 RMEBRAH1 00000121 500494 10785167

01 FC:2501 700.00 DA
02 FC:1504 300.00 DA
03 FC:8001 33.00 DA

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 530999377US

Date of Deposit: January 26, 2005

I hereby certify that this paper or fee is being deposited with the
United States Postal Service 'Express Mail Post Office To Addressee'
Service under 37 CFR 1.10 and is addressed to Mail Stop ISSUE FEE,
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: *Isabell Ogata*
Name: Isabell Ogata

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/785,167	02/23/2004	Dennis J. Slamon	G&C 30448.95-US-D1	8513

TITLE OF INVENTION: FROZEN TISSUE MICROARRAY TECHNOLOGY FOR ANALYSIS OF RNA, DNA, AND PROTEINS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$685.700	\$300	\$985.700	02/16/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
WINSTON, RANDALL O	1654	435-040500			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Gates & Cooper LLP

2 _____
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Regents of the University of California

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Oakland, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies eleven

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0494 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.
NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

William J. Wood
William J. Wood

Date

January 26, 2005

Typed or printed name

Registration No.

42,236

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



Notice of Allow. Date: November 16, 2004
Issue Fee Due Date: February 16, 2005
Confirmation No.: 8513

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dennis J. Slamon et al. Examiner: Randall O. Winston
Serial No.: 10/785,167 Group Art Unit: 1654
Filed: February 23, 2004 Docket: G&C 30448.95-US-D1
Title: FROZEN TISSUE MICROARRAY TECHNOLOGY FOR ANALYSIS OF RNA, DNA, AND PROTEINS

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV 530999377US

Date of Deposit: January 26, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to: Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Isabell Ogata
Name: Isabell Ogata

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.10.
- ☒ PTOL-85B – Part B – Issue Fee Transmittal (in duplicate).
- ☒ Publication Fee due.
- ☒ Advance Copies of Patent (11 copies).
- ☒ Charge the Issue Fee in the amount of \$700.00 to Deposit Account No. 50-0494.
- ☒ Charge the Publication Fee in the amount of \$300.00 to Deposit Account No. 50-0494.
- ☒ Charge the Advance Copies of the Patent in the amount of \$33.00 to Deposit Account No. 50-0494.
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462
GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: William J. Wood
Name: William J. Wood
Reg. No.: 42,236
WJW/io

(PTO TRANSMITTAL - GENERAL)